



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner of Patents, Washington, DC 20231.

June 27, 2001  
Date

Cheryl Ammerlahn  
Cheryl Ammerlahn

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant	: Jason B. Elledge	Attorney Docket No.	: 500188.03
Serial No.	: 09/833,029	Group Art Unit	: 3723
Filed	: April 10, 2001	Examiner	: Not yet assigned
Title	: APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES AND METHODS FOR MAKING AND USING SAME		

**GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)**

Box Missing Parts  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

With respect to the above-identified application, the Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1266.

Respectfully submitted,  
DORSEY & WHITNEY LLP

Mark W. Roberts

Mark W. Roberts, Ph.D.  
Registration No. 46,160

MWR:ca  
Enclosures:  
Postcard  
1420 Fifth Avenue, Suite 3400  
Seattle, Washington 98101-4010  
Tel: (206) 903-8800  
Fax: (206) 903-8820



JUL 02 2001

**FEE TRANSMITTAL SHEET  
(FOR FY 2001)****Complete if Known**

Application No.	09/833,029
Filing Date	April 10, 2001
First Named Inventor	Jason B. Elledge
Group Art Unit	3723
Examiner	Not yet assigned
Atty. Docket Number	500188.03

**METHOD OF PAYMENT (Check One)**

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
106	320	206	160	<input type="checkbox"/> Design Filing Fee
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee
114	150	214	75	<input type="checkbox"/> Provisional Filing Fee

**Subtotal (1) \$ 710****2. EXTRA CLAIM FEES**

Current Claims	Prior	Extra	Fee	Fee Paid
Total	19	- 20	= 0 x \$ 18	= \$ 0
Ind.	5	- 3	= 2 x \$ 80	= \$ 160
Multiple Dependent Claims				x \$ = \$

**Subtotal (2) \$ 160**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

**FEE CALCULATION (Continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	\$ 130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For Filing a Request for Reexamination	\$
115	110	215	55	Extension for reply within first month	\$
116	390	216	195	Extension for reply within 2 <sup>nd</sup> month	\$
117	890	217	445	Extension for reply within 3 <sup>rd</sup> month	\$
118	1,390	218	695	Extension for reply within 4 <sup>th</sup> month	\$
128	1,890	280	945	Extension for reply within 5 <sup>th</sup> month	\$
120	310	220	155	Filing a brief in support of an appeal	\$
121	260	270	135	Request for oral hearing	\$
148	110	248	55	Terminal Disclaimer Fee	\$
140	10	240	55	Petition to revive - unavoidable	\$
141	1,240	241	620	Petition to revive - unintentional	\$
142	1,240	242	620	Utility/Reissue issue fee (+ advance copies)	\$
143	440	243	220	Design issue fee (+ advance copies)	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	180	126	180	Submission of IDS	\$
581	40	81	40	Recording each patent assignment per property (times number of properties)	\$
179	710	279	355	Request for Continued Examination (RCE)	\$

Other fee (specify)

**Subtotal (3) \$ 130****Total Amount of Payment: \$ 1000****Submitted by:**

Name: Mark W. Roberts, Ph.D.

Reg. No.: 46,160

Telephone: (206) 903-8800

Signature:

Date: June 27, 2001



*JP*

PATENT

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June 27, 2001  
Date

*Cheryl Ammerlahn*  
Cheryl Ammerlahn

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Jason B. Elledge                      Attorney Docket No.: 500188.03  
Serial No. : 09/833,029                      Group Art Unit : 3723  
Filed : April 10, 2001                      Examiner : Not yet assigned  
Title : APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT  
PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL  
PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES  
AND METHODS FOR MAKING AND USING SAME

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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated April 27, 2001, please find enclosed a copy of the Notice to File Missing Parts for the above-identified application.

Also enclosed is a General Authorization Under 37 C.F.R. § 1.136(a)(3).

The fees have been calculated below after taking into consideration the enclosed Supplemental Preliminary Amendment:

The fees have been calculated as follows:

Basic Fee	\$ 710
Total Claims (19, 0 extra)	0
Independent Claims (5, 2 extra)	160
Missing Parts Surcharge	130
TOTAL	<u>\$ 1,000</u>

Enclosed is a check in the amount of \$1,000.00 for the requisite fees. The Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this response is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP



Mark W. Roberts, Ph.D.  
Registration No. 46,160

MWR:ca

Enclosures:

- Postcard
- Check
- Fee Transmittal Sheet (+ copy)
- Copy of this Response
- General Authorization
- Supplemental Preliminary Amendment
- Copy of Notice to File Missing Parts

1420 Fifth Avenue, Suite 3400  
Seattle, WA 98101  
Tel: (206) 903-8800  
Fax: (206) 903-8820

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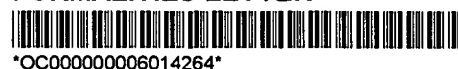
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/833,029	04/10/2001	Jason B. Elledge	500188.03

CONFIRMATION NO. 1338

## FORMALITIES LETTER



\*OC000000006014264\*

Mark W. Roberts, Esq.  
DORSEY & WHITNEY LLP  
1420 Fifth Avenue, Suite 3400  
Seattle, WA 98101

Date Mailed: 04/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)


*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/06/2001 AZERGAW1 00000078 09833029

01 FC:101	710.00 OP
02 FC:102	160.00 OP
03 FC:105	130.00 OP